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Approved for use through 09/30/2000. OMB 0651-0031 Please type a plus sign (+) inside this box → + Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control 09/683.258 **Application Number** ANSMITTAL Filing Date December 5, 2001 FORM **First Named Inventor** Kemin Zhou 1645 correspondence after initial filing) **Group Art Unit** Unknown **Examiner Name** Total Number of Pages in This Submission 15 Attorney Docket Number 3418 ORIGINAL Y FILED ENCLOSURES (check all that apply) After Allowance Communication to **Assignment Papers** Fee Transmittal Form (for an Application) Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Petition Routing Slip (PTO/SB/69) After Final Proprietary Information and Accompanying Petition Petition to Convert to a Status Letter Affidavits/declaration(s) **Provisional Application** Power of Attorney, Revocation Additional Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): 1) One diskette with Sequence **Terminal Disclaimer** Listing Express Abandonment Request Small Entity Statement 2) Acknowledgement postcard. Information Disclosure Statement Request for Refund A request to substitute Figure 3 as per amendment is Certified Copy of Priority Remarks included. Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Wei Zhou Individual name Signature Date 2002 **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: Typed or printed name Leticia Keyes Date Signature

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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| FEE TO ANOMITTAL | Complete if Known | | | | |
|--|---------------------|---------------------------|--|--|--|
| FEE TRANSMITTALE | Application Number | 09/683,258 | | | |
| for EV 0000/\\ | iling Date | December 5, 2001 | | | |
| Patent fees are subject to annual revision. With | rst Named Inventor | Kemin Zhou COPY OF PAPERS | | | |
| Patent fees are subject to annual revision. | examiner Name | Unknown ORIGINALLY FILED | | | |
| in the second se | Group / Art Unit | 1645 | | | |
| TOTAL AMOUNT OF PAYMENT (\$) 400 | Attorney Docket No. | 3418 | | | |

| TOTAL | - AMOU | INT OF F | AYM | EN1 (\$) 40 | JU | | Anorni | ey Docke | t NO. | | | | |
|---|---------------------------------------|---------------------------------------|--------------|---|--|-----------------------------|----------|---|--|--|--|----------|--|
| METHOD OF PAYMENT (check one) | | | | | | | | | | FEE CALCULATION (continued) | | | |
| The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: | | | | | 3. ADI | DITIONAL Large Entity | FEES | Small Entity | | _ | | | |
| Deposit Account Number | | · · · · · · · · · · · · · · · · · · · |] | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid | | | | |
| | | 01-0431 | -0431 | | | | | 130 | 205 | 65 | Surcharge - late filing fee or oath | | |
| Number | | | | 105 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet. | | | | | |
| Deposit Account Affymetrix, Inc. | | | | | 139 | 130 | 139 | 130 | Non-English specification | | | | |
| Account Arrymetrix, Inc. Name | | | | | 147 | 2,520 | 147 | 2,520 | For filing a request for reexamination | | | | |
| ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 | | | | | | | 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | | |
| Applicant claims small entity status. See 37 CFR 1.27 | | | | | | 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | | | |
| 2. Payment Enclosed: | | | | | | | 115 | 110 | 215 | 55 | Extension for reply within first month | | |
| ☐ Check ☐ Credit card ☐ Money ☐ Other | | | | | | 116 | 400 | 216 | 200 | Extension for reply within second month | 400 | | |
| Order CALCIU ATION | | | | | | 117 | 920 | 217 | 460 | Extension for reply within third month | | | |
| FEE CALCULATION 1. BASIC FILING FEE | | | | | | 118 | 1,440 | 218 | 720 | Extension for reply within fourth month | | | |
| Large | Entity 5 | | ntity | | | | 128 | 1,960 | 228 | 980 | Extension for reply within fifth month | | |
| Fee | | | | Fee Description | P | | 119 | 320 | 219 | 160 | Notice of Appeal | <u> </u> | |
| Code | , | - | \$) .70 ' | Miller Silver Son | Fee Pald | - | 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | <u></u> | |
| 101 | | | | Utility filing fee | | \dashv | 121 | 280 | 221 | 140 | Request for oral hearing | <u></u> | |
| 106 107 | | | | Design filing fee Plant filing fee | | \exists | 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | | |
| 108 | 740 2 | 208 3 | | Reissue filing fee | | _] | 140 | 110 | 240 | 55 | Petition to revive - unavoidable | | |
| 114 | 160 2 | 214 8 | 3O I | Provisional filling fee | , | | 141 | 1,280 | 241 | 640 | Petition to revive - unintentional | | |
| CUPTOTAL (4) | | | | | | 142 | 1,280 | 242 | 640 | Utility issue fee (or reissue) | <u></u> | | |
| SUBTOTAL (1) (\$) 0 | | | | | | 143 | 460 | 243 | 230 | Design issue fee | | | |
| 2. EXTR | A CLAIN | A FEES | | | | | 144 | 620 | 244 | 310 | Plant issue fee | | |
| | | | | Extra Fee t | | | 122 | 130 | 122 | 130 | Petitions to the Commissioner | | |
| Total Claim | ıs 「 | | · _ [| Claims below | w Paid | <u> </u> | 123 | 50 | 123 | 50 | Processing fee under 37 CFR 1.17 (q) | — | |
| Independen | | -3" | ī | | = 0 | \exists | 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | | |
| Claims Multiple Dependent | | | - [| * \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ | = 0 | \dashv | 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | | |
| Large | | Small | Entity | ــــــ ۷ | | | 146 | 740 | 246 | 370 | Filing a submission after final rejection (37 CFR § 1.129(a)) | | |
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | | | 149 | 740 | 249 | 370 | For each additional invention to be examined (37 CFR § 1.129(b)) | | |
| 103 | 18 | 203 | 9 | Claims in excess | | | 170 | 740 | 279 | 370 | Request for Continued Examination (RCE) | | |
| 102 | 84 | 202 | 42 | • | ims in excess of 3 | | 179 | | | | • | | |
| 104 109 | 280 84 | 204 209 | 140 42 | | ent claim, if not pa endent claims ov | | 169 | 169 900 169 900 Request for expedited examination of a design application | | | | | |
| 110 | ** Reissue claims in excess of 20 and | | | | | Other fee (specify) | | | | | | | |
| | | | s | UBTOTAL (2) | (\$) 0 | | | luced by E | | ing Fee f | Paid SUBTOTAL (3) (\$) 40 | | |
| **0* ==== | aber areside | austy naid " | f oresta | r; For Reissues, see a | bove | | 1 | _ | | | | | |
| or nun | mer brevic | voiy pald, i | ALESTE | , , or nerosues, 500 £ | | | | | | | | | |

| SUBMITTED BY | <u> </u> | | | Ċ | omplete (if applicable) |
|-------------------|----------|----------------------------------|--------|-----------|-------------------------|
| Name (Print/Type) | Wei Zhou | Registration No. Attorney/Agent) | 44,419 | Telephone | 408-731-5000 |
| Signature | Int | ~2_ | | Date | 5-1-2002 |



Atty. Docket No

ES PATENT AND TRADEMARK OFFICE

Applicant:

Kemin Zhou

Serial No:

09/683,258

Filing Date:

Dec. 5, 2001

Title: LARGE SCALE PROTEIN NUCLEIC ACID INTERACTION

PROFILING

Examiner:

Unknov

Group Art Unit:

1645

TRANSMITTAL OF SUBSTITUTE DRAWINGS

Commissioner for Patents Washington, D.C. 20231

Sir:

Transmitted herewith is Substitute Figure 3, consisting of 1 sheet, for filing in the subject patent application.

Acceptance of this Substitute Figure is respectfully requested.

Respectfully submitted,

Legal Department Affymetrix, Inc. 3380 Central Expressway Santa Clara, CA 95051

Tel: 408/731-5000 Fax: 408/731-5392